

## AIDC Application Standards for Healthcare

**GS1 DataMatrix** 

#### Chuck Biss - GS1 Global Office

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### A <u>General</u> Discussion of GS1 DataMatrix, with a GS1 Healthcare Application Standards Focus

- Why GS1 DataMatrix in Healthcare
- Data Matrix... The Symbology
  - "GS1 DataMatrix" son of "ISO/IEC Data Matrix"
- Thoughts on Structure & Quality
- Practical Application Printing / Reading
- Q&A





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#### Why? ...for AIDC in Healthcare



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#### **AIDC Application Standards...**

# Define which data to carry in which data carrier for any Healthcare product at all packaging levels

#### Improve patient safety

- Reduce medical errors
- Enable effective product recalls
- Fight counterfeiting
- Enable adverse event reporting
- Increase time for patient care

#### **Increase efficiency & save costs**

- Improve order and invoice process
- Optimise receiving
- Reduce inventory & improve shelf management
- Increase productivity
- Improve service levels/fill rate
- Improve benchmarking and management of supply cost
- Efficiently document treatment in patients' Electronic Health Record



# Why? ...for Healthcare specific – data & carrier requirements...



Expiry Date, Lot, and/or Serial Number



Small space



Direct part marking



Additional data & variable data at high production rates



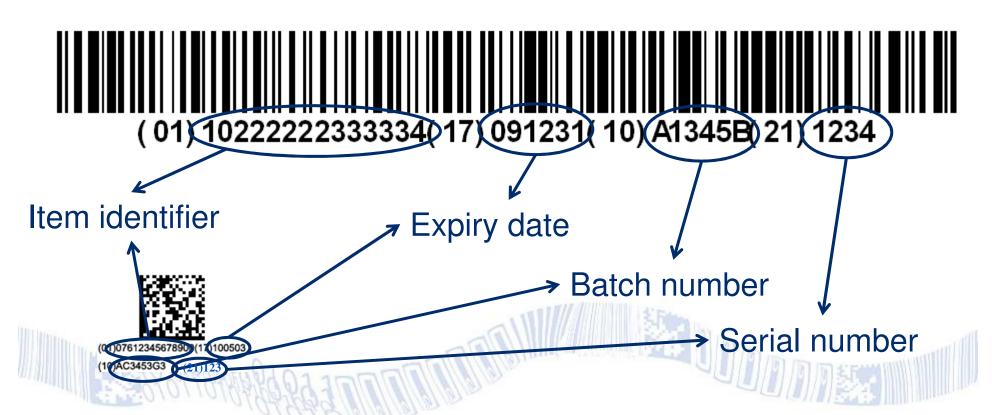
Non-retail channels

And more...



## Why? ...for the need to capture the ID key ... and beyond...

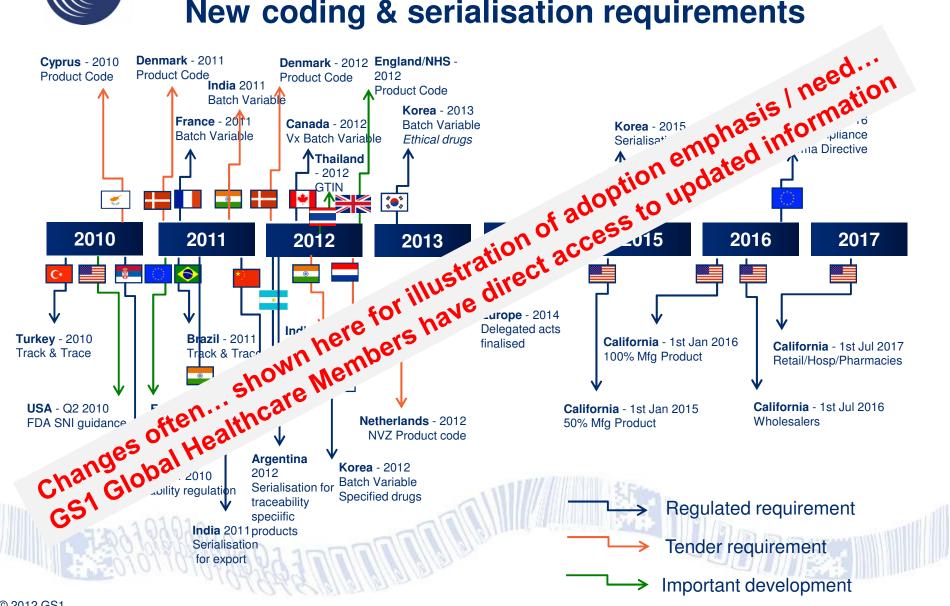
### GS1 Keys prevail... but some users need more detailed information about that specific unit



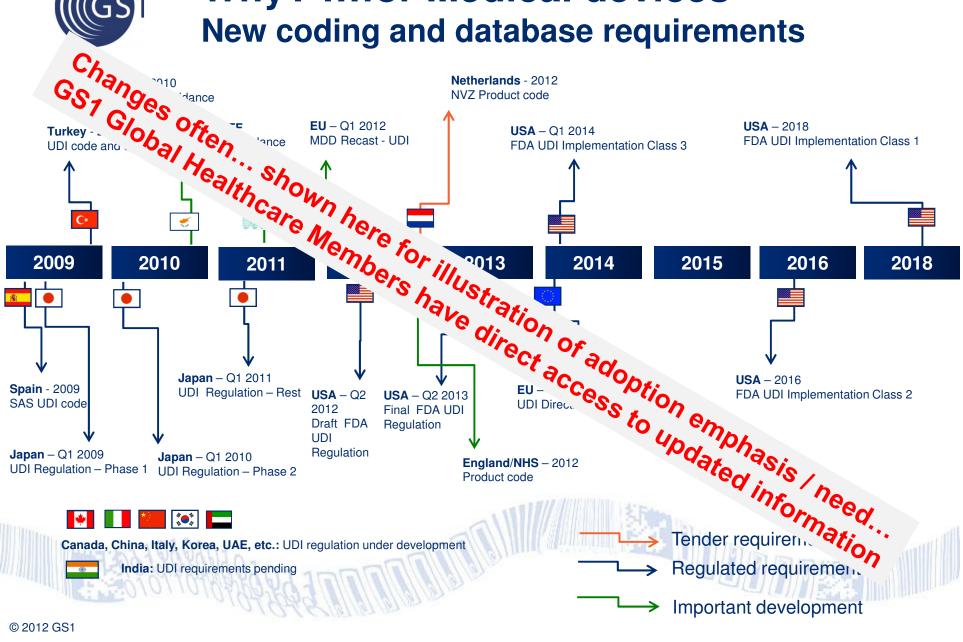


#### Why? ...for Pharma

#### **New coding & serialisation requirements**

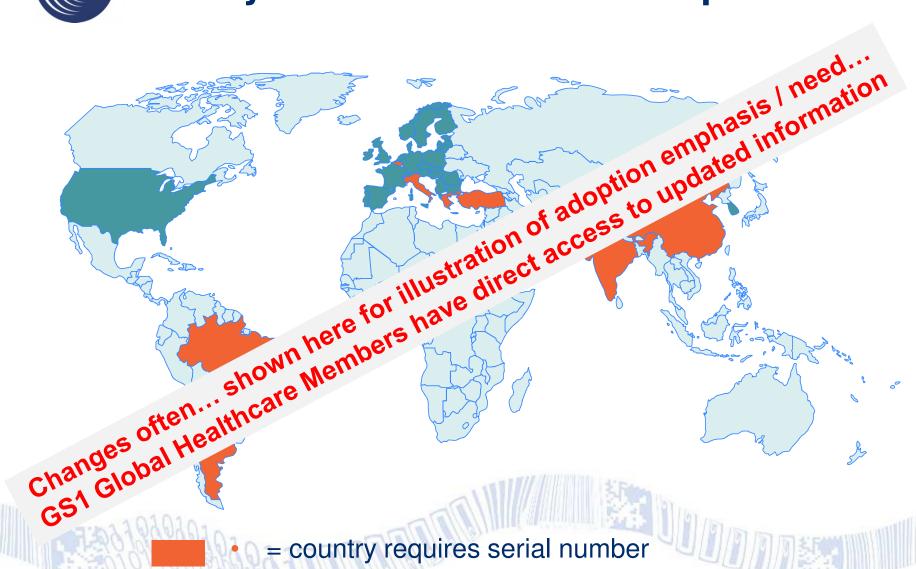


#### Why? ...for Medical devices New coding and database requirements



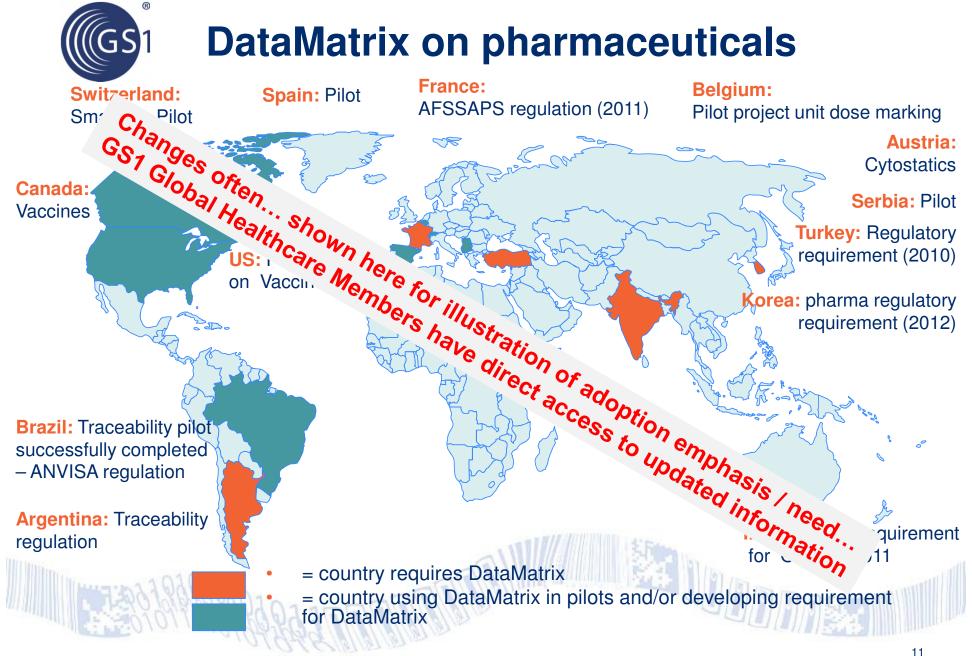


#### Why? ...for Serialisation in pharma



= country requires serial number

= country developing requirement for serial number





#### Why? ...for AIDC in Healthcare



#### ...for patient care

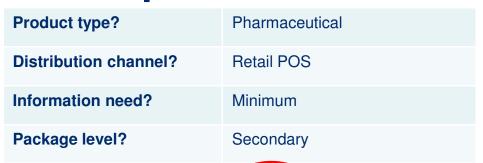
(Youngest GS1 system user?)





# GS1 Data Carriers for Healthcare... an example...





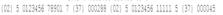












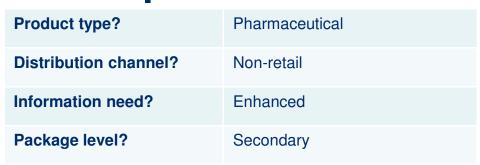


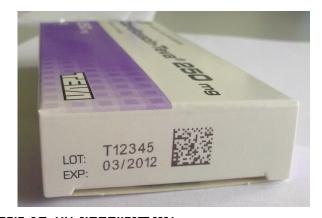
**ITF-14** 



#### **GS1 Data Carriers for Healthcare...** an example...











**GS1-128** 





00012345678905 **ITF-14** 





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#### Data carriers for specific HC needs



01) 0 0012345 67890 5



GS1-128 & GS1 DataBar

Preferred options if:

✓ package allows



#### **GS1 DataMatrix**

#### **Preferred option** if:

- ✓ Large amount of data in a small space
- ✓ Variable information at high production rates
  - ✓ Direct part marking



### **EPC/RFID**Additional option

- ✓ Non-line of sight
- ✓ Large amount of data



#### **Data Carriers: Bar Code Symbologies**

Symbologies...

#### Bar code symbology "evolution"



1D "Linear"

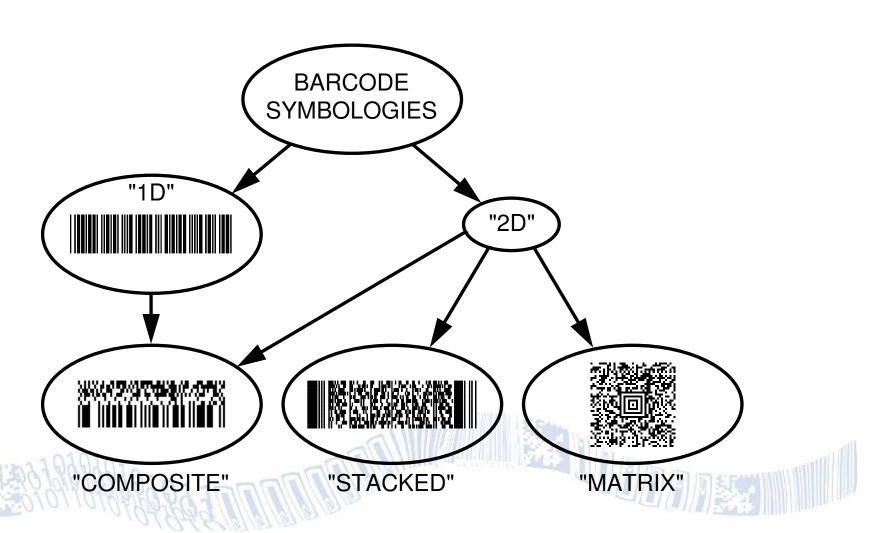
2D "Multi Row"

2D "Matrix"



#### **Data Carriers: Bar Code Symbologies**

Symbologies more simply...





#### **Data Carriers: Bar Code Symbologies**

Symbology "categories"...

- 1D Linear
  - The "normal" symbologies we are all familiar with... UPC/EAN, Code 39, Code 128, etc.
- 2D "Multi Row"
  - Also known as "stacked" symbologies, linear or "row" based... Code 16K, Code 49, PDF 417, etc.
- 2D "Matrix"
  - True "two dimensional" codes based on dot or element placements in a matrix... DataMatrix, QR Code, Aztec Code, MaxiCode, etc.



#### **Data Carriers: 2D Bar Code Symbologies**

Many to choose from... are they all "the same"...

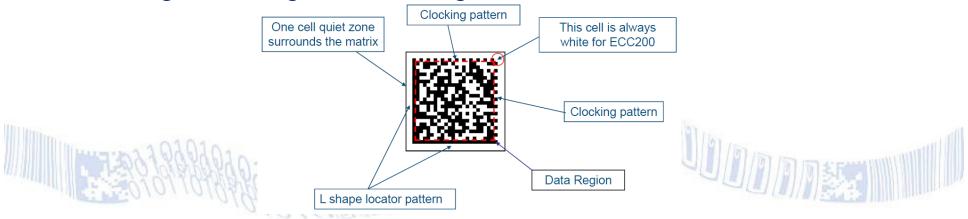




#### **ISO Data Matrix Symbology**

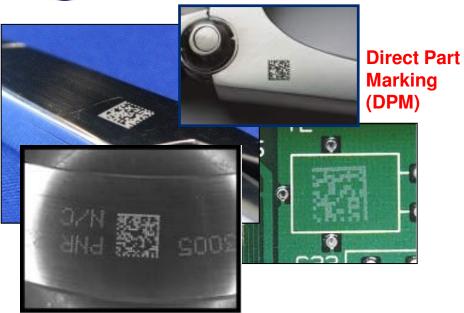
- Established 1989 by International Data Matrix
- Internationally standardized in ISO/IEC 16022
- Scaleable matrix from 9 x 9 to 49 x 49 modules (Size Change w/ Data Content... in "block steps"... an example later on)
- Error Detection & Multiple Error Correction Levels
- Multiple encoding formats and macros
- More adaptable to "direct" marking (DPM)
- Primary Applications Parts marking (Automotive, Semiconductor, Healthcare instruments, Aerospace), Pharmaceutical packaging,

Package labeling / addressing





#### "Some" Data Matrix Applications





Identification & Document Tracking



#### Item Package & Label Marking

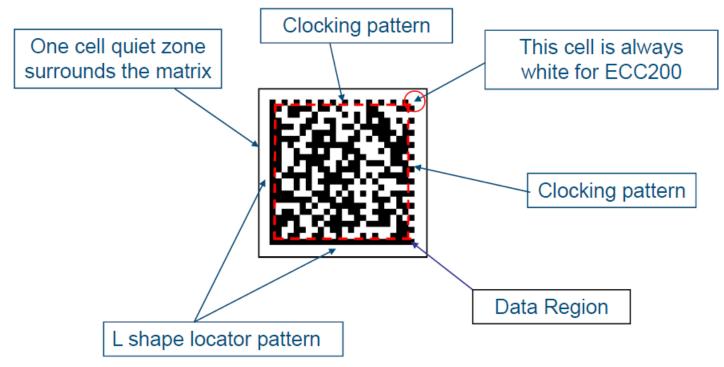


MP/11-177719 Exp.: 30/04/2014





#### **GS1 DataMatrix Symbology**



- ISO/IEC 16022 Data Matrix... used as "GS1 DataMatrix":
  - Special considerations?
    - Similar to the Code 128 / GS1-128 "relationship", an FNC1 in the first data position signals GS1 formatted data & a GS1 DataMatrix
    - Is always "ECC 200" & Alpha-Numeric encodation capable
    - GS1 DataMatrix has a specific ISO/IEC Symbology Identifier



#### GS1-128...

#### Size Changes w/ Data Content... in "steps"

Symbol 1 - GTIN Only



Symbol 2 - GTIN + AI(17)



Symbol 3 - GTIN + AI(17) + AI(10) of 4 numeric & 6 alpha



Symbol 4 - GTIN + AI(17) + AI(10) of 8 numeric & 12 alpha + AI(21) of 13 numeric & 1 alpha



For <u>EACH</u> extra individual character you add to the data string... the symbol increases in length...



#### **GS1 DataMatrix...**

#### Size Changes w/ Data Content... in "block steps"

Symbol 1 - GTIN Only	0.107in x 0/107in (0.011 sq inch)
<u>Symbol 2</u> - GTIN + AI(17)	0.121in x 0.121in (0.015 sq inch)
Symbol 3 - GTIN + AI(17) + AI(10) of 4 numeric & 6 alpha	0.134in x 0.134in (0.018 sq inch)
Symbol 4 - GTIN + AI(17) + AI(10) of 8 numeric & 12 alpha	0.147in x 0.147in (0.022 sq inch)
Symbol 5 - GTIN + AI(17) + AI(10) of 8 numeric & 12 alpha + AI(21) of 3 numeric	0.468in x 0.468.in (0.219 sq inch)
Symbol 6 - GTIN + AI(17) + AI(10) of 8 numeric & 12 alpha + AI(21) of 13 numeric & 1 alpha →	0.507in x 0.507in (0.257 sq inch)
Symbol 7 - GTIN + AI(17) + AI(10) of 8 numeric & 12 alpha + AI(21) of 15 numeric & 2 alpha ->	0.507in x 0.507in (0.257 sq inch)
Symbol 8 - GTIN + AI(17) + AI(10) of 8 numeric & 12 alpha + AI(21) of 17 numeric & 3 alpha	0.507in x 0.507in (0.257 sq inch)



#### **Scanning 2D Matrix Symbols**

#### **Linear Scanners:**

- Laser line or linear imager based
- Massive, long-term installed base
- Scans 1D / Linear and some 2D Stacked symbols



#### **Area Image Scanners:**

- Camera based
- Growing installed base in industrial, commercial, healthcare
- Scans 1D / Linear, 2D Stacked &
   2D Matrix symbols



Camera-based bar code scanners are needed in Healthcare AND are a GS1 Healthcare Leadership Team recommendation!!







**GS1 DataMatrix** 





#### Position – Camera/Imager Scanners...

(June 2007, Re-issued October 2010)



**Position Statement** 

GS1 Healthcare recommends investing in Camera-Based bar code scanners to address specific needs for Automatic Identification in Healthcare

Because of the increased capabilities of camera-based bar code scanners, GS1 Healthcare (GS1 global Healthcare user group) strongly recommends to invest in such scanners when introducing bar code scanners or when replacing existing laser bar code scanners. This will facilitate the future adoption of global standards for automatic identification in the Healthcare supply chain.

Global standards for automatic identification provide the opportunity to make the Healthcare supply chain more efficient and accurate, and thus safer. It will also help enable the patient to receive the five patient rights: the right patient gets the right product at the right time, in the right dose, and using the right

GS1 Healthcare promotes the adoption and implementation of the GS1 System of standards to automatically identify patients, products, caregivers, and locations. It is the most widely used system worldwide, with more than 5 billion transactions per day based on GS1 standards. The system is built on a scheme of identification keys (such as the GTIN, Global Trade Item Number) and attributes (such as the expiry date), which remains the same independent of the data carrier. Identification can be based on GS1 BarCodes (such as the GS1-128 bar code symbology) and on GS1 EPCglobal (using an RFID tag).

Compared to product coding in for example, a grocery retailer environment, pharmaceuticals and medical devices coding has very specific requirements, including:
a large amount of data (product ID, batch/lot number, expiry date, date of manufacture,

- serial number, ...) to be stored on a small space
- · variable information (such as unique identification number at unit dose level) to be marked at high production rates
- direct part marking (e.g. surgical instruments and implants)
- unscannable bar codes not only impact supply chain efficiency, but more importantly natient safety

The above requirements may not always be achieved with the 'traditional' linear bar codes, but a solution is available



GS1 DataMatrix

This is a 2-dimensional (2-D) data matrix symbology enabling, in an efficient way, all of the above

- enables coding more fixed and variable information, while maintaining a small size technologies are available for direct part marking
- allows error correction to circumvent some degree of physical damage

To read the GS1 DataMatrix symbology, car scanners cannot read data matrix bar codes. Camera-based bar code scanners can read both linear and 2-D bar codes.

Preparing members, solutions providers and end users for the future thru global positions...



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#### Get your copy at:

http://www.gs1.org/docs/healthcare/GS1 HUG ps Ca mera Based Scanners.pdf -orhttp://www.gs1.org/healthcare/library



#### Position – GS1 DataMatrix Adoption...

(December 2011)



GS1 Healthcare Position Paper on GS1 DataMatrix Impler

#### **GS1 Healthcare Position Statement** on GS1 DataMatrix Implementation

To meet the growing demands of increased data needs and facilitate increased patient safety, the healthcare community is in the position to be the leader in GS1 DataMatrix implementation. To demonstrate support of this leadership position, the GS1 Healthcare community has set a goal of 2015 for implementation of GS1 DataMatrix printing on, and scanning of, Regulated Healthcare Trade Items where the scanning on regulation breath care translate terms where the cument needs are not being met by other GSI Data Carriers. While not a binding mandate, the community feels strongly in setting a clear direction to further galvanize the industry and encourage action over and above the many active implementations that exist today.

Global standards for automatic identification provide an opportunity to make the healthcare supply chain safer as well as more efficient and accurate. Healthcare regulators

treatment is imperative to comply with the increasing need for product traceability around the world.

The GS1 System, globally endorsed by the healthcare community, is the most widely used trade item identification system worldwide with more than 5 billion transactions per day. Built on a foundation of identification keys (such as the Global Trade Item Number or GTIN) and attributes (such as batch/lot numbers, expiry date, etc.) it is uniquely suited to meet the needs of the global healthcare industry.

Pharmaceutical and medical device identification & marking

- Pharmaceuncal and medical device identification & markit have very specific needs, including:

   Encoding large amounts of variable or dynamic data (lot number, expiration date, serial number, etc.) at high send using seconds.
- Direct part marking (e.g. marking on surgical instruments, etc.) Efficient marking of irregular packaging for many medical
- Global legal and regulatory requirements that dictate the placement of data in a bar code symbol
- Traceability requirements for both pharmaceuticals and medical devices

Some of these needs are being met, and will continue to be met, through the use of 'traditional' linear bar codes, such as GST-128 or GST DataBar. However, for applications where they are not, GST Healthcare has adopted the use of GST DataMatrix as the data carrier (bar code symbol) solution.

GS1 DataMatrix is a 2-dimensional (2D) bar code mbology that efficiently meets all of the above needs by

- · Allowing the encoding and marking of a greater amount of data within a smaller space

  • Enabling direct part marking of trade items where labels
- may not be practical (small medical / surgical instruments) Providing error detection and correction capabilities to improve the readability of bar codes despite irregular packaging or physical damage to a label

As with the implementation of any forward looking technology, there can be challenges that must be recognized. For GST DataMatrix, these could include:

Upgrades to scanner systems: to read the GS1 DataMatrix symbology, camera-based bar code scanners are required. Linear technology based bar code scanners cannot read 2D bar codes, however camera-based bar code scanners can read both linear as well as 2D bar codes and users should be prepared to see both of these types of bar code symbols (see the GS1 Healthcare position statement on 2D camera

Updates to printing systems: to print GS1 DataMatrix, particularly on-line, direct to packaging, within production environments, printing systems may need software /

hardware updates or replacement
Updates to IT infrastructure systems: to ensure that dynamic

etc.) is available for encoding in a "real time" packaging environment as well as ensuring that the underlying systems can support the additional data where this is not

of implementation, GS1 Healthcare and its global members strongly support the implementation of 2D capable scanners and the adoption of GS1 DataMatrix. A global implementation will not be accomplished without time and effort. The use of the GS1 DataMatrix can facilitate increased automation of data capture in any country without creating trade barriers that could

Where GS1 DataMatrix can enhance or solve data capture where GST Datamatrix can enhance or solve data capture issues, we need to begin or expand implementations and ensure that the infrastructure is in place as we move to the use of 2D Symbols (like GST DataMatrix) through the investment in 2D capable scanners. To bring awareness to the industry of the need to consider these practical challenges and to move forward as quickly as practical, GS1 Healthcare throughout the global healthcare market include compliancy to GST DataMatrix urges that new investments in printing and scanning systems

#### About GS1 Healthcare

GS1 Healthcare is a global, voluntary user community bringing together all Healthcare supply chain stakeholders, including manufacturers, distributors, Healthcare providers, solution providers, regulatory bodies and industry associations. The mission of GS1 Healthcare is to lead the Healthcare sector to the successful development and implementation of global standards by bringing together experts in Healthcare to enhance patient safety and supply chain efficiencies.

GS1 Healthcare members include over 60 leading Healthcare organisations worldwide. For more information about GS1 Healthcare, and to view this paper please visit

Preparing members, solutions providers and end users for the future thru global positions...



GS1 Healthcare Position Paper on GS1 DataMatrix Implementation

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Get your copy at:

http://www.gs1.org/docs/healthcare/GS1 Data Matrix Position Paper.pdf



#### **GS1 DataMatrix Symbology**

#### GS1 DataMatrix

An introduction and technical overview of the most advanced GS1 Application Identifiers compliant symbology

This document facilitates processes by offering detailed information on GS1 DataMatrix and its technical characteristics encoding, printing and reading. It is a repository of reference information that can support the implementation of GS1 DataMatrix in any sector, industry or country.

GS1 DataMatrix An introduction and technical overview of the most advanced GS1 Application Identifiers compliant symbology

Th crucial guideline to define an application standard

according to your sector business needs

http://www.gs1.org/services/publications/online/







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#### **Bar Code Symbol Quality is...**

...much more than just "optical" print quality and / or using a verifier to determine a grade... there is great benefit in looking at the whole picture of quality and gaining the knowledge and understanding of what these checks, tests and results can tell you... how they can help you... and how they can improve the AIDC system

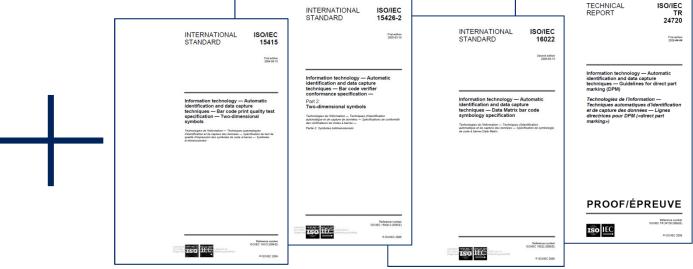
Awareness and understanding of overall bar code symbol quality, and the complete process to determine and understand it, can have many benefits to the users of bar code driven AIDC systems



#### Symbol Quality in the GS1 System



GS1 General Specifications



ISO/IEC 15415 Information technology -- Automatic identification and data capture techniques -- Bar code print quality test specification -- Two-dimensional symbols ISO/IEC 15426-2 Information technology -- Automatic identification and data capture techniques -- Bar code verifier conformance specification -- Part 2: Two-dimensional symbols ISO/IEC 16022 Information technology -- International symbology specification -- Data Matrix

ISO/IEC TR 24720 Information technology -- Automatic identification and data capture techniques -- Guidelines for direct part marking (DPM)

ISO/IEC DTR 29158 Information technology -- Automatic identification and data capture techniques -- Direct Part Mark (DPM) Quality Guideline

Have the right "tools" for the job, starting with proper documentation, education, training...



# Linear (1D) & Matrix (2D) Bar Code Symbols

#### **Common Quality Parameters**

- Decode / RDA
- X Dimension / Module Size
- Data Structure, Validity

- Human Readable Interpretation
- Symbol Contrast
- Modulation
- Quite Zones, as applicable

#### 1D Only



- Bar Height
- Minimum Reflectance
- Edge Contrast
- Defects
- Decodability

#### <u>2D Only</u>



- Fixed Pattern Damage
- Axial Nonuniformity
- Grid Nonuniformity
- Unused Error Correction
- Print Growth
- Clock Track Regularity



#### **Quality Parameters**

#### **Decode / Reference Decode Algorithm**

Is the symbol readable, does it fulfill the rules of the Reference Decode Algorithm, is it a GS1 DataMatrix and is the data in a GS1 format.

- Has the proper structure to be a Data Matrix
- Has a Function One (FNC1) Character in the first data position
- Has data properly structured & encoded according to the GS1 General Specification
  - NOTE: This "data structure" is the same as for GS1-128...just in a different "bar code"!



# GS1 DataMatrix Symbology... or not... how DO you know?

Symbol decode:

→ GS1 DataMatrix - (FNC1 & Als)

(]d2)01108576740020171714112010KMB11205201[GS]21CEB630078700

Whether you use a Verifier or go "more manual"... it's all in the data... and the ISO Symbology Identifier!

ISO Symbology ID's are Internationally agreed (ISO/IEC 15424) 3 character codes that scanner/imagers output at the beginning of a data string that tells what bar code symbology has been read. It is in the form

]cm

where:

] - (ASCII 93) the ID flag character

c - code (symbology) character
as ISO defined ("d" = Data
Matrix)

m - modifier character(s)

Symbol decode:



]d1 01108576740020171714112010KMB11205201[ GS]21CEB630078700

➤ ISO Data Matrix - (No FNC1)



#### **Quality Parameters**

#### **Symbol Contrast**

Like with 1D / Linear... the difference between the light and dark parts... a bigger difference is better





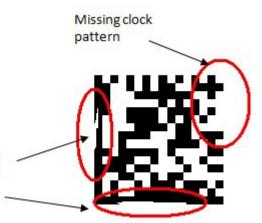
#### **Modulation**

Not unlike 1D / Linear... is a measure of the uniformity of reflectance of the dark and light modules



### **Fixed Pattern Damage**

A test for damage to any of the "fixed patterns" (finder patterns etc.)



Finder pattern irregularity

#### **Unused Error Correction**

Damage in the Symbol

High Error Correction

Reduced
Unused Error
Correction
Capacity



# **Axial Non-uniformity & Grid Non-uniformity**

The symbol modules are in a regular grid or matrix. Axial & Grid Non-uniformity check if the symbol has been squeezed or squashed or distorted

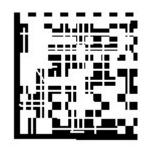






#### **Print Growth**

Have the modules grown or shrunk from normal...







### **Quiet Zones (aka Light Margins)**

Similar to 1D Linear symbols there is a "Quiet Zone" that must be kept clear... but it is on ALL FOUR sides...



**Bar Code Print Quality Verifiers are available for testing 2D Matrix symbols like GS1 DataMatrix** 



Check out the AIM Buyer's Guide for a listing of most manufacturers

© 2012 GS1



## 2D symbol verification...

...just like 1D symbol quality verification is a process where <u>before</u> you use a verifier you should:

- follow <u>common sense</u>, use your eyes, look at the whole picture...
- remember there is <u>more</u> to bar code symbol quality <u>than just getting a "grade"</u> ...
- use <u>all</u> the "tools" you have available...
- learn and investigate





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**Overview** — Most early adopters have been hesitant to share details as yet on implementation challenges, this can be for many reasons such avoiding operational comparisons, keeping competitive advantage, protecting an active pilot

implementation project, lack of long term cost information, etc. Many times we have been told the more significant costs are in IT infrastructure changes. We are all learning...

Costs - Manufacturing? — When it comes to implementation costs anecdotal estimates have run from \$25K to about \$500K (or more) USD per manufacturing line for printing / scanning updates (without serial number addition). Many note that with printing software it is critical to ensure automatic inclusion of the leading Function 1 character.





**Productivity?** – In all cases we have heard that no one would even attempt to install systems if they were not assured that it would not negatively affect productivity.

Costs – User? – IT infrastructure changes may be the major unknown cost as it is different user to user. Scanner costs will depend on the type & use case need, however single, tethered/corded handheld "gun" type scanner imagers can cost about \$150 - \$300 USD per unit... from there (depending on quantities, type of unit, features, etc.) the costs can go slightly lower but also can rise into the \$1000's USD for some systems.

Bar code symbol print quality verifiers can run \$2000 USD and up, but are available.



A I O I ESTINITION IN



### **Printing / Marking:**

- Many existing "demand"
   label printers can print Data
   Matrix well
- May not be the case for all "in line" printers (validity of inks, needed speeds, etc.)
- DPM brings on a whole new set of challenges
- Beware the missing FNC1





**GS1 DataMatrix** 

Printing / marking must, of course, be matched to the application use case needs... as with other bar code symbol generation



### **Area Image Scanners:**

- Camera / area imager based
- Growing installed base in industrial, commercial, healthcare
- Scans 1D / Linear, 2D
   Stacked & 2D Matrix
   symbols
- Competitive pricing more apparent





**GS1 DataMatrix** 

Camera-based bar code scanners are needed in Healthcare AND are a GS1 Healthcare Leadership Team recommendation!!



# A Practical need in the Healthcare supply chain #1...

#### Implementation of GS1 DataMatrix

- To meet the French "CIP" requirements
- Identification of the product with "Lot/Batch" & "Expiry"

Tests already run to add Serial Number and a country specific

NHRN (National Healthcare Reimbursement Number)

- Running at "normal" line speeds -300 cartons/minute, 45m/min
- Print sizes 300 DPI, Module size of 345µm, Wolke m600A, Universal Black UB 7482 HP Inkjet cartridge
- Read & verify On and off-line camera based & verifier systems





# Another practical need in the Healthcare supply chain #2, EFPIA...

### EFPIA - Coding Pharmaceutical Products in Europe Data Matrix — Coding proposal derived from GS1 standards

Manufacturer Product Code (GTIN or NTIN) - 14 digits
Unique Serial Number (randomized) - up to 20 alpha-numeric characters
Expiry Date - 6 digits (YYMMDD)
Batch Number - up to 20 alpha-numeric characters

+ minimum requirements on quality of randomisation

#### Example:

**GTIN:** (01) 07046261398572

**Batch:** (10) TEST5632 **Expiry:** (17) 130331

S/N: (21) 19067811811

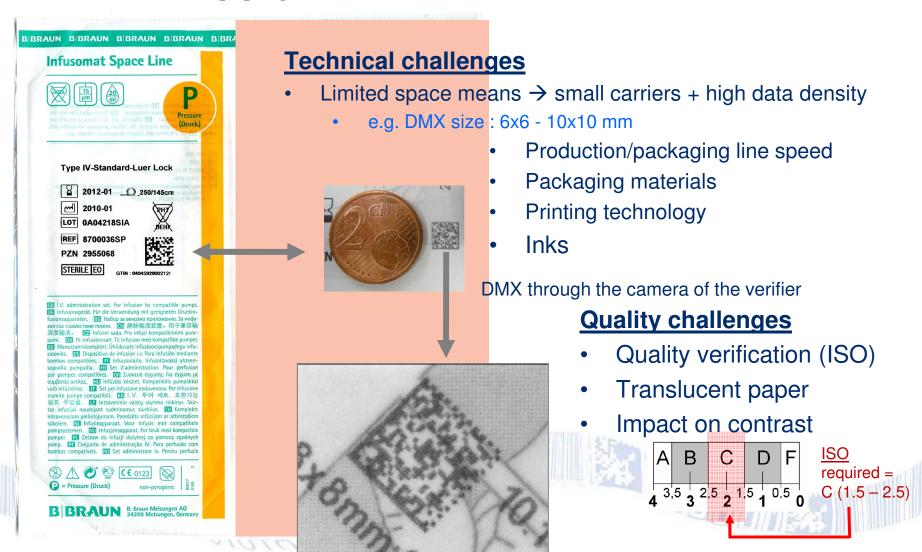


Specifications provided in EFPIA's: "European Pack Coding Guidelines"





# A practical need in the Healthcare supply chain #3... B.Braun





# A Practical need in the Healthcare supply chain #4...

### **Operating Room**

#### **Sterilisation Unit**



Use



**Case carts** 



**Stock** 



**Transport** 



**Preparation** 



**Sterilisation** 

- ✓ Cleaning
- ✓ Dis-/assembling
- ✓ Maintenance
- √ Substitution
- ✓ Set configuration
- ✓ Completeness check
  - ✓ Creation of 'Steri Batches' (e.g. labels)
  - ✓ Batch loading and release



## **Surgical instruments**





## Specific marking needs to manage critical internal logistics processes

(use, cleaning, (dis)assembly, sterilisation, etc.)

- must fit on small space
- must be able to carry sufficient information (item identifier & serial number) to enable traceability
- must remain readable throughout the intended life span of the item
- must be practical (easily retrievable, etc.)
- must be biocompatible
- must be standards-based

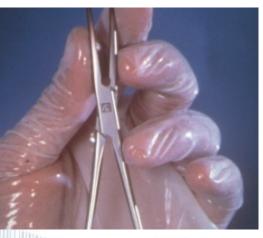


# Special cases... Small instrument marking



#### Data carrier: GS1 DataMatrix

- Target useable mark area of 2.5mm x 2.5mm
- One bar code on a single instrument
- Though not limited to, laser etching is recommended
- Mixed marking technologies within the same scanning environment should be avoided (ensures highest reading performance)



#### Identification key: GTIN

- GTIN (Global Trade Item Number) preferred option
  - GTIN-12, -13 or -14 allowed
- GRAI (Global Returnable Asset Identifier) or GIAI (Global Individual Asset Identifier) – in case of hospital legacy system

#### Attribute: Serial number

AI(21) (Application Identifier) mandatory - Serial number



# Small instrument marking Application



# Camera-based bar code scanners needed

 Fixed scanner operation (present the instrument to the scanner to be read) is likely



 Scanner specific for direct part marking will give best performance



### **Questions?**

You can ask now...







...or you can ask later.







## **Contact Details**

Chuck Biss - GS1 Global Office

Senior Director, AIDC Healthcare

T + 1 (315) 252-5941

M +1 (315) 480-2034

E chuck.biss@gs1.org

